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Mandle, Richard orcid.org/0000-0001-9816-9661 and Goodby, John William (2016) An interplay between molecular pairing, smectic layer spacing, dielectric anisotropy and re-entrant phenomena in ω -alkenyloxy cyanobiphenyls. LIQUID CRYSTALS. pp. 1-11. ISSN 1366-5855

<https://doi.org/10.1080/02678292.2016.1227484>

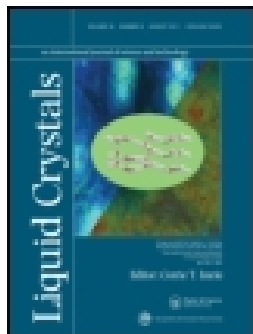
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To cite this article: Richard J. Mandle & John W. Goodby (2016): An interplay between molecular pairing, smectic layer spacing, dielectric anisotropy and re-entrant phenomena in ω -alkenyloxy cyanobiphenyls, Liquid Crystals, DOI: [10.1080/02678292.2016.1227484](https://doi.org/10.1080/02678292.2016.1227484)

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An interplay between molecular pairing, smectic layer spacing, dielectric anisotropy and re-entrant phenomena in ω -alkenyloxy cyanobiphenyls

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ABSTRACT

In this article, we report on the liquid-crystalline properties of the 4- ω -alkenyloxy-4'-cyanobiphenyl series of compounds up to a total aliphatic chain length of eleven. When compared to the analogous fully saturated compounds, we find that the smectic layer spacing is significantly larger for the alkene-terminated materials; conversely the dielectric anisotropy in the nematic phase was found to be significantly smaller. The ability to manipulate bulk properties of nematic and smectic mesophases may have future relevance for display applications.

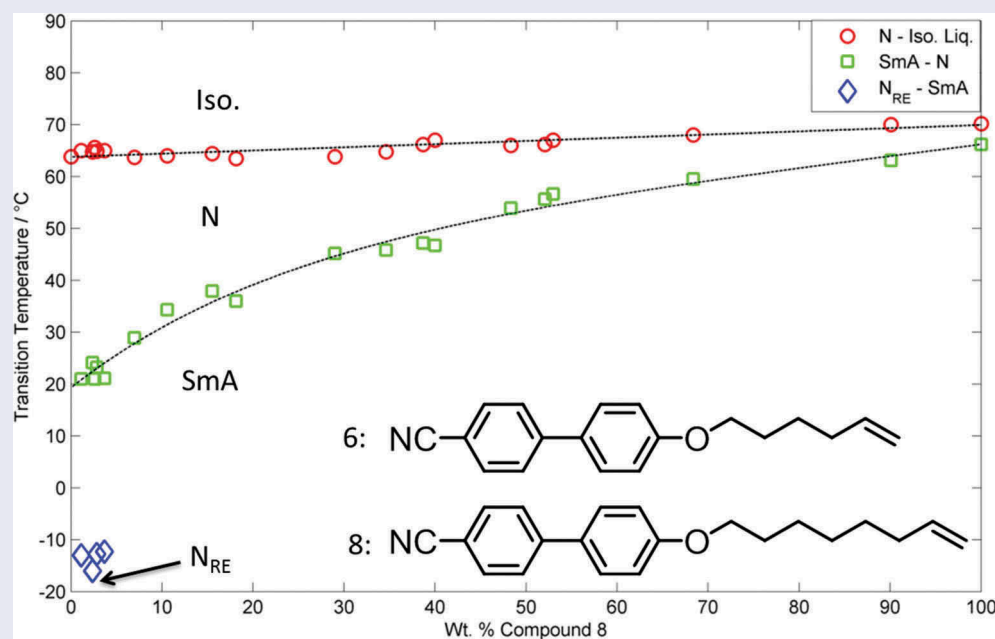
ARTICLE HISTORY

Received 18 July 2016

Accepted 18 August 2016

KEYWORDS

SAXS; Dielectric; reentrant; liquid crystal




Introduction

The elucidation of structure–property relationships for mesogenic materials continues to be an important avenue in applied liquid crystal research [1–3]. Knowing the likely impact of the introduction of various functional groups on both bulk properties and mesomorphism underpins the success of engineering of soft materials [3]. For example, the introduction of polar groups has been used for decades to affect changes in the dielectric anisotropy of the resulting liquid crystals [4–8]. Changes in the molecular structure can also be used to entirely alter the mesomorphism of a material, a point illustrated

by considering the behaviour of 8OCB (4-octyloxy-4'-cyanobiphenyl) and its perfluoroalkoxy analogue (4-(1,1,2,2,3,3,4,4,5,5,6,6,7,7,8,8,8-heptafluorooxy)-4'-cyanobiphenyl); 8OCB exhibits a nematic and a smectic A_D mesophase, whereas the analogous perfluoroalkoxy material exhibits only a smectic A phase [9]. In this example, the lamellar SmA_D phase is stabilised by nanosegregation which results from the chemical immiscibility of hydrocarbon and fluorocarbon subunits.

Recently, there has been renewed interest in electro-optic devices using the smectic A phase, including dye doped guest–host systems [10–26]. Smectic A devices

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 Supplemental data for this article can be accessed [here](#).

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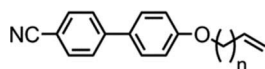


Figure 1. General chemical structure of the 4-(ω -Alkenyloxy)-4'-cyanobiphenyls.

have been stymied by the lack of good host materials that can operate at low voltages, and this has stimulated interest in finding structure–property relationships that can be used to promote the smectic A phase. The stabilisation of the smectic A phase by terminal halogen atoms [27,28] has been reported, however, this behaviour is not exhibited by halo-terminated alkoxy-cyanobiphenyls [29]. A number of bulky terminal groups such as siloxanes [15–17], carbosilanes [30–32], phenoxy [33] and *tert* butyl [32,34] have been reported in the context of materials for electrooptic devices utilising the smectic A phase.

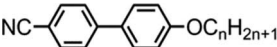
Perhaps due to their utility as chemical intermediates, the properties and mesomorphic behaviour of materials bearing terminal alkenes is often overlooked, or not reported. To our knowledge there has not been a detailed and systematic study on the behaviour of the 4-alkenyloxy-4'-cyanobiphenyl materials, shown in Figure 1, despite many of these compounds being reported previously as chemical precursors to silane and siloxane terminated materials [32].

Experimental

The liquid crystalline behaviour of the 4-(ω -alkenyloxy)-4'-cyanobiphenyls was studied using polarised optical microscopy (POM), differential scanning calorimetry (DSC), small angle X-ray scattering (SAXS) and electrooptics, and we compare their results with those of the analogous nOCB series (see Table 1).

The 4- ω -alkenyloxy-4'-cyanobiphenyls were prepared by the Williamson etherification of 4-hydroxy-4'-cyanobiphenyl with bromoalkenes with potassium carbonate and sodium iodide in acetone. Full

Table 1. The transition temperatures ($^{\circ}\text{C}$) of the nOCB series of materials. [35–37].



	$n =$	Cr	SmA _D	N	Iso
3OCB	3	• 44.9	-	(• 40.0)	•
4OCB	4	• 78.0	-	(• 75.5)	•
5OCB	5	• 48.0	-	• 68.0	•
6OCB	6	• 57.0	-	• 75.5	•
7OCB	7	• 54.0	-	• 74.0	•
8OCB	8	• 54.5	• 67.2	• 81.0	•
9OCB	9	• 61.3	• 77.9	• 80.0	•
10OCB	10	• 59.5	• 83.9	-	•
11OCB	11	• 71.5	• 87.5	-	•

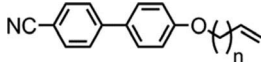
experimental details, including synthetic procedures and chemical characterisation, are provided in the accompanying supplemental data to this article. Small angle X-ray scattering was performed on a Bruker D8 Discover using copper K alpha radiation ($\lambda = 0.1506$ nm) and a Bruker VANTEC 500 area detector (2048x2048 pixels). The instrument was equipped with a bored graphite rod furnace allowing control of the sample temperature. Alignment was achieved via a pair of 1 T magnets oriented perpendicular to the incident beam, giving a field of approximately 0.6 T at the sample. An INSTEC ALCT property tester was used to obtain values of capacitance as a function of voltage for materials confined in 3 μm cells with planar alignment and ITO electrodes (area 2.5 mm²). The resulting CV curve was used to determine dielectric anisotropy as described in the supplemental data. Geometry optimisations were performed in Gaussian G09 revision E.01 at the levels of theory described in the text [38].

Results and discussion

The transition temperatures of compounds 1–9 (presented in Table 2) were determined by a combination of polarised optical microscopy (POM) and differential scanning calorimetry (DSC), with associated enthalpies and entropies of transition measured by DSC. The assignment of smectic mesophases as being of the sub-type smectic A_D (i.e. intercalated) was assisted by small angle X-ray scattering.

The transition temperatures for compounds 1–9 were found to be in close agreement with literature values,

Table 2. Transition temperatures ($^{\circ}\text{C}$) and associated enthalpies of transition (kJ mol^{-1}) for compounds 1–9.



No	n	Cr	SmA _D	N	Iso
1	1	• 80.2 [30.6]	-	• 80.7 [1.2]	•
2	2	• 65.9 [25.7]	-	(• 36.3) [0.3]	•
3	3	• 88.5 [28.4]	-	(• 71.5) [0.7]	•
4	4	• 33.7 [15.6]	-	• 52.6 [0.3]	•
5	5	• 50.4 [30.5]	-	• 70.6 [1.4]	•
6	6	• 36.7 [25.6]	-	• 63.8 [0.5]	•
7	7	• 46.5 [37.6]	-	• 73.9 [1.2]	•
8	8	• 46.2 [32.7]	• 66.2 [0.2]	• 70.2 [0.7]	•
9	9	• 57.5 [46.7]	• 71.4 [0.7]	• 76.0 [0.4]	•

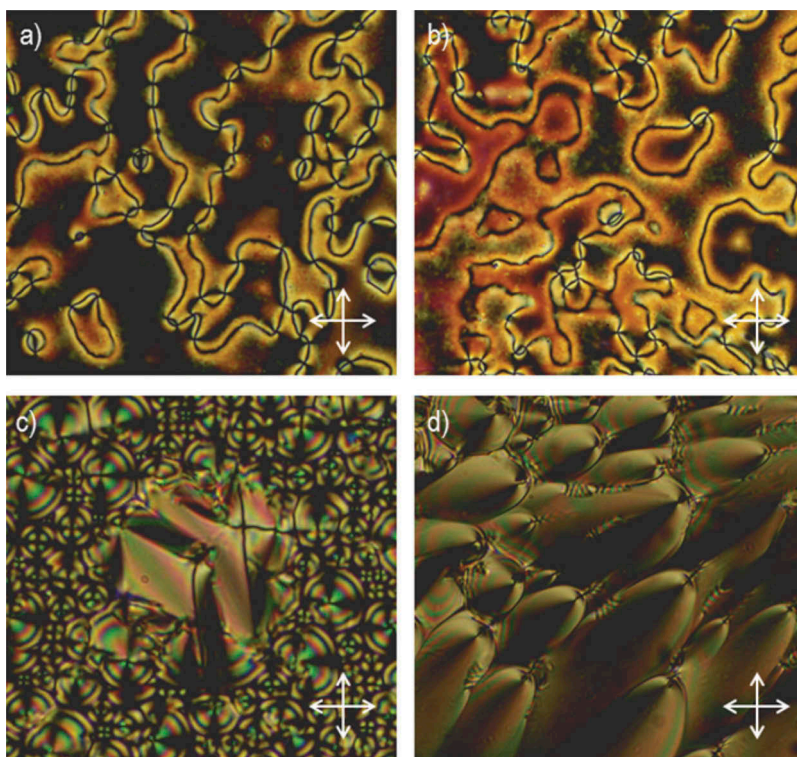


Figure 2. (colour online) Photomicrographs (x100) of the *schlieren* texture of the nematic phase of compound **9** at 70.0°C (a and b), a focal-conic defect in the smectic A phase of compound **8** at 66.1°C (c) and numerous parabolic defects in the smectic A phase of compound **9** at 74.8°C (d).

with marginally higher melting and clearing points in several cases [39,40]. With the exception of compounds **1** and **3** the 4- ω -alkenyloxy-4'-cyanobiphenyls possess both lower melting points and lower nematic to isotropic transition temperatures than the analogous nOCB materials (Table 1). Photomicrographs of the nematic and smectic A phases exhibited by compounds **8** and **9** are given in Figure 2. The nematic phase exhibits a classical *schlieren* texture, whereas the smectic A phase exhibits both the focal-conic and parabolic defects

The enthalpies and entropies associated with the nematic to isotropic transition, plotted in Figure 3, appear to reach a maximum for compounds **5** and **7** before falling again with further increasing chain length. Additionally there is a pronounced odd-even effect, with the magnitude of the associated enthalpies and entropies displaying a dependence on the parity of the 4- ω -alkenyloxy chain.

The even parity homologues show a smooth increase in the values of the enthalpies and entropies,

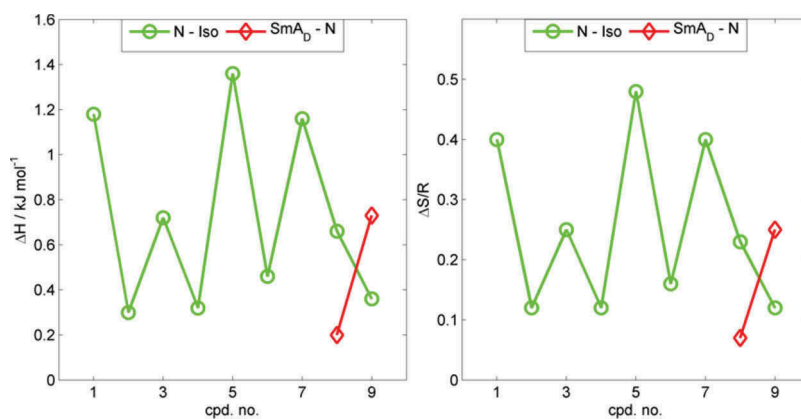


Figure 3. (colour online) Plots of associated enthalpies of transition (left, kJ mol^{-1}) and associated dimensionless entropies of transition (right, $\Delta S/R$) for compounds 1–9.

whereas the odd members tend to show more erratic behaviour. This may be related to the orientation of the double bond being closer to the long axes of molecules for even parity, whereas for the odd parity the double bond is oriented off-axis irrespective of the ratio of the *gauche* to *trans* conformations of the methylene chain. To probe this the dipole moment of compounds **1–9** was calculated at the B3LYP/6-31G(d) level of DFT on geometry optimised at the same level of theory. There is an odd–even effect in the dipole moments; however, as the alkene is much less polar than the nitrile group the effect is subtle. The dipole angle – defined here as the angle between the dipole-vector and the mass inertia axis of the molecule – also exhibits a dependence on the parity of the methylene chain (Figure 4).

Both 8OCB and 9OCB exhibit nematic and smectic A_D phases, whereas the corresponding 4- ω -alkenyloxy-4'-cyanobiphenyls **6** and **7** only exhibit a nematic mesophase. The assignment of the smectic A phase exhibited by compounds **8** and **9** as the subtype A_D was made based on SAXS data (representative

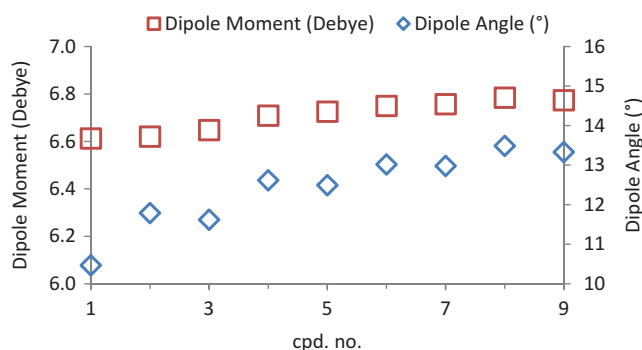


Figure 4. (colour online) Plot of molecular dipole moments (calculated at the B3LYP/6-31G(d) level of DFT) and the angle made between the dipole vector and the long-axis of the mesogenic unit.

examples are given in Figure 5); the smectic layer spacing for **8** and **9**, as well as the analogous 10OCB and 11OCB, was found to be between 1 and 2 molecular lengths (determined from geometry optimised at the B3LYP/6-31G(d) level of DFT) and thus confirming the SmA phase as this subtype rather than a monolayer (SmA_1) or bilayer (SmA_2) phase. The higher homologues of the 4- ω -alkenyloxy-4'-cyanobiphenyls studied in this work (**8** and **9**) were found to exhibit both nematic and smectic A_D mesophases, however, the analogous nOCB compounds (10OCB and 11OCB) both exhibit direct isotropic to smectic A_D phase transitions with the thermal stability of the smectic A_D phase being significantly higher for the nOCB materials than for their alkene counterparts. We can therefore state that the incorporation of an alkene at the terminal position of the alkoxy chain of the nOCB materials confers a reduction in clearing points (relative to the saturated parent material), however, the liquid-crystalline state is not entirely suppressed.

Small angle X-ray scattering (SAXS) was used to measure the smectic layer spacing of compounds **8** and **9** along with their saturated analogues (10OCB and 11OCB) as a function of reduced temperature. The molecular lengths of **8**, **9**, 10OCB and 11OCB were determined from geometry optimised at the B3LYP/6-31G(d) level of theory; using these values in conjunction with measured layer spacing afforded the d/l ratios plotted in Figure 6.

For 10OCB and 11OCB the smectic layer spacing was found to increase marginally with reduced temperature, however, for compounds **8** and **9** the opposite is true, with the layer spacing (and thus the d/l ratio) decreasing with reduced temperature. However, for all four compounds the deviation from the mean value was small. Tabulated maxima, minima and mean values of the d/l ratios along with values at selected reduced temperatures ($T_{[SmA-N]} - T = 5, 10, 15, 20$ and

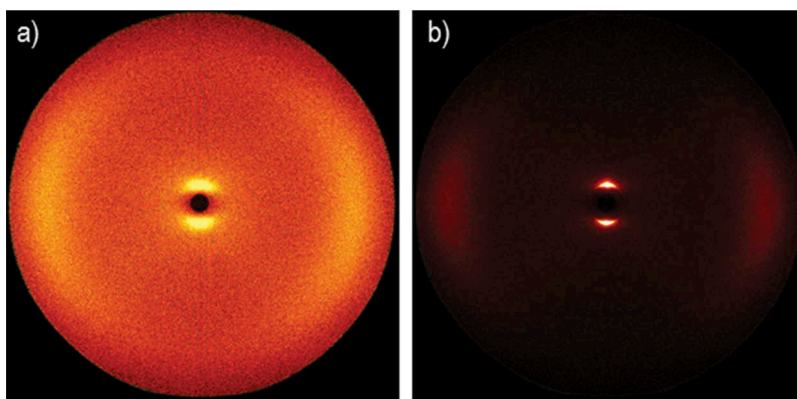


Figure 5. (colour online) Two dimensional small angle X-ray scattering patterns obtained for compound **8** in the nematic phase at 69°C (a) and in the smectic A_D phase at 46°C (b).

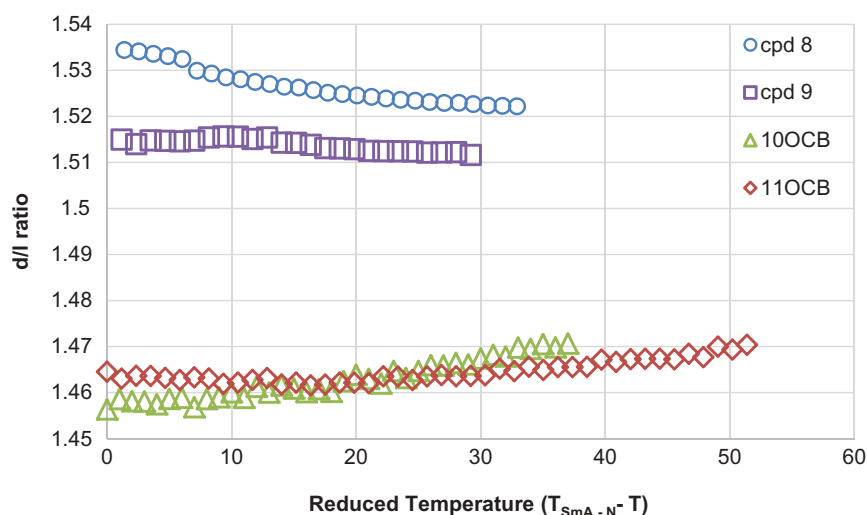


Figure 6. (colour online) Plot of the d/l ratio (see text) vs reduced temperature ($T_{\text{SmA-N}} - T$) for compounds **8** and **9**, with data for 10OCB and 11OCB for comparison.

25) are given in Table 3. It is interesting to note that although the smectic layer spacings do not exhibit a temperature dependence to the same extent as reported for the 4-undecyloxy-4'-cyanobiphenyls substituted with 'bulky' terminal groups [32], the layer spacing for the alkene terminated materials **8** and **9** is significantly different to that of the analogous saturated nOCB materials. We must add that the pronounced difference in layer spacing between **8/9** and 10OCB/11OCB may be a consequence of differences in the orientational order parameter. Compounds **8** and **9** provide further examples of the ability of terminal groups, in this case alkenes, to manipulate the smectic A layer spacing [30–32].

Initially we assumed that strength of the dipole-dipole interactions between adjacent cyanobiphenyl molecules that lead to antiparallel correlated species is unaffected by the presence or absence of an alkenyl moiety at the terminus of the aliphatic chain; in this scenario the nitrile-to-nitrile distance for adjacent molecules *within* the smectic layers is unchanged, yet the distance between nitrile groups in *adjacent* layers must be larger. In this scenario the layer expansion is caused by the alkenes being 'squeezed' out into the layer interface in a manner similar to that reported for bulky end groups. We cannot at this stage rule

out that the alkene alters the degree antiparallel associations that exist between the cyanobiphenyl group, so as an alternative we speculate that the terminal alkene, through some presently unknown mechanism, leads to an increase the 'aspect ratio' of the antiparallel correlated cyanobiphenyl pair, i.e. the nitrile-to-nitrile distance *within* the smectic layers grows larger, leading to an increase in the smectic layer spacing.

To test these two differing hypotheses experimentally we opted to measure the dielectric anisotropy of compounds **4** and **6**, as well as their saturated analogues 6OCB and 8OCB. These materials were chosen because of their wide nematic phase ranges and convenient operating temperatures. In the Maier–Meier equations the effective dipole moment (μ_{eff}) is the molecular dipole moment attenuated by the Kirkwood 'g' factor ($\mu_{\text{eff}}^2 = g\mu_{\text{mol}}^2$) which describes the degree of parallel/antiparallel correlation between molecules in a liquid crystal. By using calculated molecular dipole moments and polarisabilities the Kirkwood factor was varied so the calculated dielectric anisotropy from the Maier–Meier equation (see Equations 1–3 below and the ESI for a more detailed discussion) matches the experimental value, giving an empirical value for the degree of antiparallel (or indeed, parallel) molecular correlations that exist in

Table 3. Maximum, minimum and mean values of the d/l ratio along with standard deviation from mean (SD), values at reduced temperatures of 5, 10, 15, 20 and 25. Values of the d/l ratios were obtained using SmA_D layer spacings measured by SAXS and molecule lengths obtained at the B3LYP/6-31G(d) level of DFT for compounds **8**, **9** 10OCB and 11OCB.

No.	Max d/l	Min d/l	Mean d/l	SD	d/l ($T_R = 5$)	d/l ($T_R = 10$)	d/l ($T_R = 15$)	d/l ($T_R = 20$)	d/l ($T_R = 25$)
8	1.545	1.520	1.527	0.0055	1.533	1.528	1.526	1.524	1.523
9	1.516	1.512	1.514	0.0013	1.515	1.515	1.514	1.513	1.512
10OCB	1.470	1.462	1.464	0.0023	1.463	1.462	1.462	1.463	1.464
11OCB	1.471	1.455	1.462	0.0044	1.459	1.460	1.461	1.464	1.465

degree of antiparallel pairing associated with the incorporation of a terminal alkene. A weak interaction between a nitrile and alkene has been reported [48], and this may be the origin of the reduced Kirkwood factor (and thereby the reduction in dielectric anisotropy) in alkene-terminated nOCB compounds.

Reentrant behaviour in liquid crystals, where a 'less' ordered mesophase is obtained on cooling a 'more' ordered mesophase – although more correctly a phase of higher symmetry is obtained on cooling a phase of lower symmetry – is a well-established phenomenon [49,50], and exists in analogy to similar reentrant behaviour observed in other systems [51]. Binary mixtures of 6OCB in 8OCB exhibit the phase sequence N-SmA-N_{RE} (where N_{RE} is a reentrant nematic phase) when the concentration of 6OCB is in the range of 20–30 wt%. As described by Cladis, the reentrant polymorphism in the 6OCB/8OCB system is a consequence of the formation of antiparallel correlated pairs, the population (relative to unpaired molecules) and lifetime for which increases with decreasing temperature. As the temperature decreases (and the relative population of paired molecules increases) the dipolar forces that stabilise the layered structure break down, leading the material to revert to the nematic phase. The difference in length between an unbound single molecule and a dimer pair is significant; this incommensurability of length scales has been postulated to be the origin of multiple re-entrancies (for example the multiple nematic phases of DB₉ONO₂) [52,53]. We opted to explore the phase diagram between compounds **6** and **8** on the basis that if, as suggested from dielectric measurements, the presence of a terminal alkene leads to an increase in the degree of antiparallel correlated pairs then such a phase diagram should be expected to exhibit the N-SmA-N_{RE} phase sequence.

As shown in Figure 8, the smectic A phase persists over almost the entire concentration range to as low as 1.1 wt% of compound **8**. The clearing point varies almost linearly with concentration; however, the SmA-N transition decays exponentially. A transition from the smectic A phase to a reentrant nematic was observed at low temperature for mixtures with <5 wt% of compound **8**; at the next highest concentration of **8** (7 wt%) the smectic A phase crystallises at around 0°C, whereas for pure **6** the material crystallises at around 25°C from the nematic phase. For the smectic A phase, the *schlieren* texture re-appeared, with the sample becoming noticeably less viscous, despite the temperature of this phase transition being around –15°C. The nematic and smectic A phases were identified by polarised optical microscopy based on their

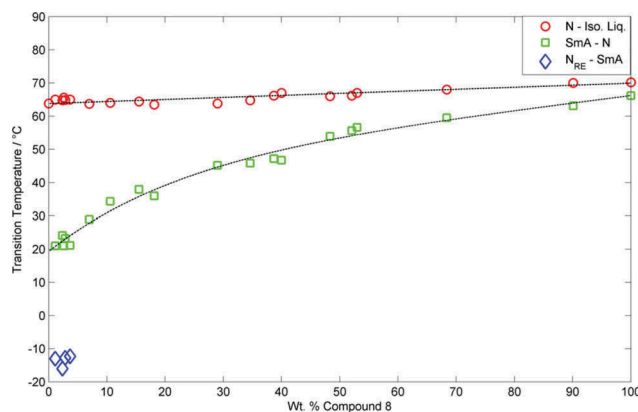


Figure 8. (colour online) Gibbs phase diagram for binary mixtures (wt %) of compounds **6** and **8**. Melting points are omitted for clarity. Dashed lines correspond to linear fit of and a double exponential fit of SmA-N as a function of composition.

characteristic defect textures as described earlier in the text. In the case of the reentrant nematic phase, the optical textures are identical to those of the nematic; homeotropically oriented regions in the smectic A phase retain this alignment in the reentrant nematic phase, whereas the focal-conic defects yield a classical *schlieren* texture confirming that the lower temperature phase is not smectic C. At present, experimental limitations prevent the measurement of the smectic layer spacing below ambient temperature (~ 20°C) by SAXS, however, this would potentially afford quantitative information about the degree of pairing; for example in the 6OCB/8OCB system it is known that the degree of pairing is inversely proportional to temperature and as the level of pairing saturates the transition to the reentrant nematic phase occurs. As the reentrant nematic occurs at around 50°C lower for the **6/8** phase diagram ($T_{\text{SmA-Nre}} \sim -15^\circ\text{C}$) than for 6OCB/8OCB ($T_{\text{SmA-Nre}} \sim 35^\circ\text{C}$) this indicates that, qualitatively, the degree of pairing for the alkene-terminated materials does not saturate until a much lower temperature than for the 4-alkoxy-4'-cyanobiphenyls. This is not to say that the degree of pairing is actually less in the alkene terminated materials, but rather it may simply saturate at some higher value. If the reentrant nematic phase observed for binary mixtures of both 6OCB/8OCB and **6/8** is considered to be a product of the incommensurability between the length of free molecule and the 'dimer' type species, then the increased degree of pairing in the alkene-terminated materials would be expected to lead to a more stable smectic A phase through the reduction in the number of free molecules which destabilise the smectic A phase and lead to the nematic.

Conclusions

Despite the similarity of their chemical structures, the properties of the condensed phases exhibited by the alkenyloxy- and alkoxy-cyanobiphenyls differ significantly. In the smectic A phase, the d/l ratio (the layer spacing divided by the molecular length obtained at the DFT(B3LYP)/6-31G(d) level) was found to be significantly larger for alkene terminated materials than the analogous nOCB compounds. The d/l ratio measured for 10OCB and 11OCB are approximately equivalent at a given reduced temperature, those for the analogous alkene terminated materials (compounds **8** and **9**) exhibit significant differences. This result gives important fundamental information for the underpinning of the design of the molecular architectures of materials for applications in nematic and smectic flat panel displays.

Two main hypotheses were formulated, either the alkenes are expelled to the layer interface in an analogous fashion to that claimed for bulky groups [32], or the alkenes somehow change the nature of the antiparallel associations that exists between adjacent cyanobiphenyl units. To test this, we measured dielectric anisotropy, if this had remained the same then the second hypothesis was null, however, in the nematic phases we observed a sharp reduction in dielectric anisotropy. The fall in $\Delta\epsilon$ is, we believe, attributable to increase antiparallel pairing of the molecules, i.e. the Kirkwood factor is smaller. This is a counterintuitive result – the alkene is not conjugated to the core and would not be expected to confer any change in dielectric properties. The ability to fine tune the degree of antiparallel correlation that occurs in polar calamitic liquid crystals has the potential to be an important design feature in the synthesis of materials with very large values of dielectric anisotropy, i.e. $\Delta\epsilon > 50$, where aggregation effects can become significant [44]. Low concentration binary mixtures of compound **8** (<5 wt%) with compound **6** yield the reentrant phase sequence N-SmA-N_{re}.

Acknowledgements

We would like to thank the Engineering and Physical Sciences Research Council (EPSRC) for support of this work via grant codes [EP/K039660/1 and EP/M020584/1]. Raw data are available upon request from the University of York data catalogue.

Disclosure statement

No potential conflict of interest was reported by the authors.

Funding

This work was supported by the Engineering and Physical Sciences Research Council (EPSRC): Grant Numbers [EP/K039660/1 and EP/M020584/1].

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